

SMALL BUSINESS AND SMALL DISADVANTAGED BUSINESS
SUBCONTRACTING CERTIFICATION

Offeror/Subcontract Name:

Subcontract No:

Modification No:

Value: \$

This certificate applies to all negotiated subcontracts and purchase orders exceeding \$500,000 and construction subcontracts exceeding \$1,000,000. As used herein the term "order" shall also mean "subcontract."

The Offeror certifies that the following conditions exist in determining whether the offeror is required to submit a plan for subcontracting with small business and small disadvantaged business concerns.

- ☐ A. The offeror is exempt from the requirements of a subcontracting plan for the following reason(s) (check all that apply):
- ☐ (i) The offeror is a small business as defined in accordance with Section 3 of the Small Business Act (15 U.S.C. 632).
 - ☐ (ii) Subcontracting possibilities are not offered in this subcontract. (Further explanation required including the specifics of the SOW.)

Explanation

- ☐ (iii) The subcontract, and all lower-tier subcontracts under it, will be performed entirely outside of the United States, its territories and possessions, the District of Columbia, and the Commonwealth of Puerto Rico and is, therefore, not covered.
- ☐ (iv) The subcontract is for personal services and is, therefore, not covered. (Further explanation required including the specific reasons this statement is true.)

Explanation

- ☐ B. The offeror is required to submit and obtain approval of a Small Business and Small Disadvantaged Business Subcontracting Plan before subcontract award.

The following condition applies only to modifications that exceed the thresholds and are attached to an existing subcontract.

- ☐ C. Because of Modification No. _____, the offeror must submit and obtain approval on a Small Business and Small Disadvantaged Business Subcontract Plan that relates specifically to this modification before it can be executed.
- ☐ (i) The goals established by the SB and SBD Subcontracting Plan for this order will be extended to include this modification; or
- ☐ (ii) A separate subcontracting plan will be submitted for this modification.

Offeror acknowledges that it will include the Utilization of Small Business concerns and Small Disadvantaged Business concerns article in all subcontracts that offer subcontracting opportunities, and that the Offeror will require all subcontractors, except small business concerns, that receive subcontracts in excess of \$500,000 (\$1,000,000 for construction subcontracts) to adopt a plan similar to the plan required by the Small Business and Small Business Subcontracting Plan article of this order.

The Offeror understands that

- 1) No order will be awarded unless and until an acceptable subcontracting plan, if required, is negotiated with the University and the approved plan is incorporated as a material part of the order;
- 2) As determined by the University, an acceptable subcontracting plan must provide the maximum practicable opportunity for small business and small disadvantaged business concerns to participate in the performance of the order;
- 3) If an acceptable subcontracting plan is not negotiated with the University within the time limits prescribed, the Offeror shall be ineligible for an award. The University shall notify the Offeror in writing of the reasons for determining a subcontracting plan to be unacceptable and allow adequate time for the Offeror to modify its plan;
- 4) Commercial subcontracting plans on a company-wide basis must have a current federal agency approval and are acceptable for commercial products only; and
- 5) Master subcontracting plans on a division- or plant-wide basis must contain individual subcontracting goals for the particular subcontract.

Offeror's Signature

Date